



Acceleration

- DC High Voltage Sources
 - Van de Graaff (and Tandem)
 - Pelletron
 - Cockcroft-Walton
- AC Acceleration
 - Linac
 - Cyclotron
 - Betatron
 - Synchrotrons





• DC Acceleration The Van de Graaff







The Pelletron

- Use mechanical chain of pellets (short conductive tubes connected by links made of insulating material) to build up high voltages on the Pelletron terminal.
- The pellet chain can operate at higher velocity than rubber belt, attaining both higher voltage and currents. Create more stable voltage on terminal.







Cockcroft and Walton

Voltage Multiplier



FIG. 2.11 Accelerating tube and target arrangement of the Cockcroft-Walton machine. The source is at D; C is a metallic ring joint between the two sections of the constantly pumped tube. The mica window closes the evacuated space. Cockcroft and Walton, *PRS*, *A136* (1932), 626.



Converts AC



Fermilab (recently decommissioned)





The Route to Even Higher Energies



Circular Accelerator





DC systems limited to a few MV

 $\oint (q\vec{E}) \cdot d\vec{s} = work = \Delta(energy)$

Linear Accelerator



To gain energy, a time-varying field is required:

$$\oint \vec{E} \cdot d\vec{s} = -\frac{\partial}{\partial t} \oint \vec{B} \cdot d\vec{A}$$





The Cyclotron

• A charged particle in a magnetic field, *B*, moves in a circular path of radius *r* at a speed *v*. The time to orbit once is

 $T=2\pi r/v.$

• The force due to the magnetic field is

 $F = qvB = mv^2/r$

- thus, r/v = m/eB
- and so, $f = 1/T = (q/m)(B/2\pi)$
- Oscillate the voltage with a frequency f_{RF} and adjust the magnetic field until $1/f_{RF} = 1/f$ above.
- As the particle passes the gap, it gets accelerated, circulates on a slightly larger orbit, but the time to go around remains fixed.
- Eventually, the orbit gets big enough that the particle leaves the device.







Cyclotrons

- Relatively easy to operate and tune (only a few parts).
- Tend to be used for isotope production and places where reliable and reproducible operation are important
- Intensity is moderately high, acceleration efficiency is high, cost low
- Relativity is an issue, so energy is limited to a few hundred MeV/u.
- RIKEN Superconducting Ring Cyclotron 350 MeV/u





National Superconducting Cyclotron Laboratory

• First use of superconducting magnet technology in a major particle accelerator — K500; next was K1200



The K500 superconducting cyclotron, early-1980's; Note the compact size compared to earlier picture of 184" cyclotron(!), which was of comparable energy

Accelerating "dees" of the K1200 cyclotron







The Betatron



- 1940, Kerst (U. Illinois)
 - induction accelerator

$$- \oint \vec{E} \cdot d\vec{s} = -\frac{\partial}{\partial t} \oint \vec{B} \cdot d\vec{A}$$

$$BR = p/q \quad \rightarrow \quad \dot{p} = qR\dot{B}$$

- used for electrons
- beam dynamics heavily studied
 » "betatron oscillations"

$$\dot{\Phi} = -\oint \vec{E} \cdot d\vec{r} = 2\pi R E$$

flux "through" the orbit of radius R
$$\dot{\Phi} = 2(\pi R^2)\dot{B}$$

to that field is stronger near the center,

shape poles so that field is stronger near the center, adjusting the flux, until the *Betatron condition* is met

field on orbit of radius R

 $\dot{p} = F = qE$





A Synchrotron



Magnets steer the particles in a circle

Booster Synchrotron, Fermilab (Batavia, IL)





The Synchrotron

 As the electromagnet fields are slowly increased, the particle will be accelerated by the cavity enough to keep its momentum in step with the magnetic field and keep the orbit radius constant:

$$mv^2/R = evB \implies R = mv / eB$$

= p / eB





What frequencies do we need? Let's say $v \sim c$, and say R = 1 m

then,

$$f = v / 2\pi R$$

= (3 x 10⁸ m/s) / (2\pi 1m)
= 5 x 10⁷ / s = 50 MHz

FM Radio Stations: 88 - 108 MHz! thus, we use RF cavities and power sources

If increase circumference, can still use same frequency. Will generate *h* groups, or "bunches", of particles that will be separated in time by $1/f_{RF}$.

harmonic number:

h = (RF frequency) / (revolution frequency)





Resonant Cavities





Acceleration using AC Fields

• Pass through a gap with an oscillating field...

•
$$\Delta W = q E d = qV$$
 $\Delta W/A [eV/u] = (Q/A) eV$

 But here, V is an "average" or "effective" potential; depends upon the frequency of the field in the gap, the incoming speed of the particle (due to the field varying with time), and the phase of the oscillation relative to the particle arrival time

 ΔW [per nucleon] = $(Q/A) T(\beta) eV_0 \cos(\phi)$





Accelerating Gap

• Create electric field / potential across two "plates"





• Vary the field with frequency, f

D. Alt, et al., MSU





Transit Time Factor

$$T(\beta) = \frac{1}{V_0} \int_{-g/2}^{g/2} E(0,s) \cos(ks) ds$$

$$V_0 = \int_{-g/2}^{g/2} E(0,s)ds \qquad k \equiv \frac{2\pi}{\beta\lambda}$$



• For v = c, and for a gap = $\lambda/2$, the TTF will be

$$\frac{1}{\lambda/2} \int_{-\lambda/4}^{\lambda/4} \cos(2\pi z/\lambda) dz = \frac{2}{\pi}$$

 Once get up to higher velocities (v ~ c), then can consider multiple-cell cavities since the velocity is no longer changing.





Properties of the RF pillbox cavity





- # We want lowest mode: with only $\mathbf{E}_z \& \mathbf{B}_{\theta}$
- # Maxwell's equations are:

$$\frac{1}{r}\frac{\partial}{\partial r}(rB_{\theta}) = \frac{1}{c^2}\frac{\partial}{\partial t}E_z \quad \text{and} \quad \frac{\partial}{\partial r}E_z = \frac{\partial}{\partial t}B_{\theta}$$

$$\frac{\partial}{\partial t} \left[\frac{1}{r} \frac{\partial}{\partial r} \left(rB_{\theta} \right) \right] = \frac{\partial}{\partial t} \left[\frac{\partial B_{\theta}}{\partial r} + \frac{B_{\theta}}{r} \right] = \frac{1}{c^2} \frac{\partial^2 E_z}{\partial t^2}$$

$$\frac{\partial}{\partial r}\frac{\partial E_z}{\partial r} = \frac{\partial}{\partial r}\frac{\partial B_{\theta}}{\partial t}$$

$$\frac{\partial^2 E_z}{\partial r^2} + \frac{1}{r} \frac{\partial E_z}{\partial r} = \frac{1}{c^2} \frac{\partial^2 E_z}{\partial t^2}$$

slides courtesy W. Barletta



==>





For a mode with frequency ω



$$# \qquad E_z(r,t) = E_z(r) \ e^{i\omega t}$$

* Therefore,
$$E''_z$$

$$+\frac{E'_z}{r} + \left(\frac{\omega}{c}\right)^2 E_z = 0$$

 \rightarrow (Bessel's equation, 0 order)

⋕ Hence,

$$E_z(r) = E_o J_o\left(\frac{\omega}{c}r\right)$$

For conducting walls, $E_z(R) = 0$, therefore

$$\frac{2\pi f}{b} = 2.405$$

US PARTICLE ACCELERATOR SCHOOL





Size Matters

Frequency (MHz)	Diameter (2b) (m)
1	240
10	24
50	5
100	2.5
1000	0.25
3000	0.08







The beam tube makes the field modes (& cell design) more complicated





℁ Peak E no longer on axis

$$\Rightarrow E_{pk} \sim 2 - 3 \times E_{acc}$$
$$\Rightarrow FOM = E_{pk}/E_{acc}$$

- $\# \omega_0$ more sensitive to cavity dimensions
 - → Mechanical tuning & detuning





The Pill Box Cavity







Lumped circuit analogy of resonant cavity



$$Z(\omega) = \left[j\omega C + (j\omega L + R)^{-1}\right]^{-1}$$

 $Z(\omega) = \frac{1}{j\omega C + (j\omega L + R)^{-1}} = \frac{(j\omega L + R)}{(j\omega L + R)j\omega C + 1} = \frac{(j\omega L + R)}{(1 - \omega^2 LC) + j\omega RC}$

The resonant frequency is
$$\omega_{o} = \frac{1}{\sqrt{LC}}$$

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More basics from circuits - Q



$$Q = \frac{\omega_o \circ Energy \ stored}{Time \ average \ power \ loss} =$$

 $\frac{2\pi \circ Energy \ stored}{Energy \ per \ cycle}$

$$\mathscr{T} = \frac{1}{2} L I_o I_o^* \quad \text{and} \langle \mathscr{P} \rangle = \langle i^2(t) \rangle R = \frac{1}{2} I_o I_o^* R_{surface}$$

$$\therefore Q = \frac{\sqrt{L/C}}{R} = \left(\frac{\Delta\omega}{\omega_o}\right)^{-1}$$

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Q of the lumped circuit analogy



Converting the denominator of Z to a real number we see that

$$\left| Z(\omega) \right| \sim \left[\left(1 - \frac{\omega^2}{\omega_o^2} \right)^2 + (\omega RC)^2 \right]^{-1}$$







Translate circuit model to a cavity model: Directly driven, re-entrant RF cavity Outer region: Large, single turn Inductor Expanding outer region ΟB $L = \frac{\mu_o \pi a^2}{2\pi (R + a)}$ raises Q Wall current Central region: Large plate Capacitor Displacement current $C = \varepsilon_o \frac{\pi R^2}{A} \quad \Box \Box \Box$ E $\omega_{o} = \frac{1}{\sqrt{LC}} = c \left[\frac{2((R+a)d}{\pi R^{2}a^{2}} \right]^{\frac{1}{2}}$ Beam (Load) current Narrowing gap R $\stackrel{\mathbf{d}}{\longleftrightarrow}$ raises shunt impedance Q – set by resistance in outer region $Q = \sqrt{\frac{L}{C}}$ a Source: Humphries, Charged Particle Accelerators **US PARTICLE ACCELERATOR SCHOOL**





At high velocities, make a linac out of a series of pillboxes ...



make the cells $\lambda/2$ apart

Power the cavities so that $E_z(z,t) = E_z(z)\sin(2\pi ft)$

- Once get up to higher velocities (v ~ c), then can consider multiple-cell cavities since the velocity is no longer changing.
- For v = c, the TTF will be

$$\frac{1}{\lambda/2} \int_{-\lambda/4}^{\lambda/4} \cos(2\pi z/\lambda) dz = \frac{2}{\pi}$$

MJS





Other Choices of Cavity Type

- Other variations might be required
 - particles may NOT already be at the speed of light, so transit time effects become significant
 - in a synchrotron, the speed of the particles may vary significantly during acceleration, so will require a changing RF frequency
 - slowly moving particles (like heavy ions, for instance: v ~ 0.01c, etc.) will need special attention
- Many variants are available; likely more to come





low-β cavities: Just cavities that accelerate efficiently particles with $\beta < 1...$

low- β cavities are often further subdivided in low-, medium-, high- β

β=1 SC resonators: "elliptical" shapes



Courtesy A. Facco

 β <1 resonators, from very low (β ~0.03) to intermediate (β ~0.5): many different shapes and sizes







Synchrotron RF Distribution

• Might have all cavities in one location in a synchrotron, or may be distributed around the circumference..







Superconducting Cavities

- Using superconducting materials, cavities can have Q very high (>10¹⁰) with much lower power costs, and bunches of particles can be continuously traversing the system over time
 - "CW" -- continuous wave
- Can achieve higher acceleration per meter if use a system wherein the particle traverses several gaps, perhaps being shielded from the field while its direction changes within the cavity
- If particles were near light speed, then the cavity structure can be formed so that the gaps are separated by $\lambda/2$; elliptical cavities are common for high gradient acceleration
 - for lower speeds -- e.g., heavy ions -- must be spaced by ~ βλ/2.
 This might also limit the number of gaps per cavity to ~2





Normal vs. Superconducting Cavities

DTL tank - Fermilab



Normal conducting Cu cavity @ 300K $R_s \sim 10^{-3} \Omega$ $Q \sim 10^4$

> Superconducting Nb Cavity @ 4.2K $R_s \sim 10^{-8} \Omega$ $Q \sim 10^9$



LNL PIAVE 80 MHz, β =0.047 QWR

Superconductivity allows

- great reduction of rf power consumption even considering cryogenics (1W at 4.2K ~ 300W at 300K)
- the use of short cavities with wide velocity acceptance

SRF Low-beta Accelerating Cavities for FRIB

MSU 4/10/2011



Low-*B* Superconducting Linacs

- many short cavities
- independently powered
- large aperture

different beam velocity profiles

i.i. b

- different particle q/A
- cavity fault tolerance





Quarter-wave Structures

- Imagine a coaxial waveguide set to transmit an EM wave of frequency *f*, and wavelength λ = c/f
- Make the waveguide of length $L = \lambda/4$, and "close" one end
 - create a "standing wave" within the structure
- At the end where the E field is strong, allow the beam to pass through two gaps
 - separate gaps by distance $\beta \lambda/2$







Half-wave Structures -- More Symmetry



• A half-wave resonator is equivalent to 2 QWRs facing each other and connected





Transit Time Factor for 2-gap π -mode Cavity







Multi-cell Cavities

• Here: "ILC" 9-cell style cavity

as v —> c, can use multiple cells in succession



have achieved > 35 MV/m average accelerating gradient with superconducting cavities (Note: even larger gradients achieved with non-SC, but very power intensive)





• essentially "half-wave" structures with variable frequency





changing the bias voltage on the ferrite changes its μ , which changes the inductance, *L*, changing the resonant *f*






Longitudinal Focusing and Related Transverse Effects





Longitudinal Focusing and Related Transverse Effects

- sometimes referred to as "phase focusing" or "time focusing"
- particles of different energy (momentum) move at different speeds, so tend to "spread out" relative to the "ideal" particle which is assumed to exist traveling with perfect synchronism with respect to the oscillating fields
- wish to study the (longitudinal) motion of particles relative to this "synchronous particle"





Longitudinal Focusing

- time of flight the "slip factor"
- Evolution due to *dp/p* or *dW/W*
- Longitudinal focusing, time of arrival:
 - bunchers, rebunchers, debunchers





Momentum Compaction Factor

- How does path length along the beam line depend upon momentum?
 - in straight sections, no difference; in bending regions, *can* be different

Look closely at an infinitesimal section along the ideal trajectory...



if L = path length along ideal trajectory
 between 2 points, then

$$\frac{\Delta L}{L} = \underbrace{\frac{\int \frac{D(s)}{\rho(s)} ds}{\int ds}}_{p} \cdot \frac{\Delta p}{p}$$

The relative change in path length, per relative change in momentum, is called the *momentum compaction factor*, $\alpha_p = \langle D/\rho \rangle$ along the ideal path





The Slip Factor

 $t = \frac{L}{v}$ $\frac{dt}{t} = \frac{dL}{L} - \frac{dv}{v}$ $\frac{dv}{v} = \frac{1}{\gamma^2} \frac{dp}{p}$ $= \left(\alpha_p - \frac{1}{\gamma^2}\right) \frac{dp}{p} | \\ -\eta \frac{dp}{r}$ dttdt

Momentum Compaction Factor:

$$\alpha_p \equiv \left(\frac{dL/L}{dp/p}\right)$$
$$\alpha_p = \frac{\int [D(s)/\rho(s)]ds}{\int ds}$$

 $= \langle D/\rho \rangle$

The Slip Factor:

$$\eta \equiv \alpha_p - \frac{1}{\gamma^2}$$





A Simple Example...



$$\tau = 2\pi R/v$$

$$\frac{\Delta L}{L_0} = \frac{\Delta R}{R_0} = \frac{\Delta p}{p_0}$$

$$\frac{\Delta \tau}{\tau_0} = (1 - \frac{1}{\gamma_0^2}) \frac{\Delta p}{p_0}$$

 $\tau = (2\pi R + 2d)/v$

$$\frac{\Delta L}{L_0} = \frac{2\pi (R - R_0)}{2\pi R_0 + 2d} = \frac{1}{1 + d/\pi R_0} \frac{\Delta p}{p_0}$$
$$\frac{\Delta \tau}{\tau_0} = \left(\frac{1}{1 + d/\pi R_0} - \frac{1}{\gamma_0^2}\right) \frac{\Delta p}{p_0}$$

MJS





Implications of the Slip Factor

$$\eta = \alpha_p - \frac{1}{\gamma^2} = \left\langle \frac{D}{\rho} \right\rangle - \frac{1}{\gamma^2}$$

- Suppose no bending in the line (e.g., linac), or, perhaps have bending yet $\gamma^2 < 1/\alpha_{\rm p}$
 - then, the slip factor is negative, and particles of higher momentum take less time to traverse the same distance as the ideal particle
- If the energy of the particles is high enough in the presence of bending, then can have $\gamma^2 > 1/a_p$
 - in this case, the slip factor is positive the changes in path length outweigh the changes in speed when determining the time of flight difference
 - here, a higher-momentum particle will actually take *longer* to traverse the same distance as the ideal particle, even though it's moving faster





Linear Motion Very Near the Ideal Particle

Particles moving along the ideal trajectory move toward or away from the ideal particle according to their speed (momentum/energy) and path length differences







Linear Motion Very Near the Ideal Particle [2]

- Imagine a particle on the ideal trajectory and that has the ideal energy, W_s. A second particle on the ideal trajectory, but with a different energy, W, may be ahead of or lagging behind the ideal particle.
- We will use radio frequency (RF) cavities to provide an accelerating voltage to the particles as they pass by.
- The ideal particle will arrive at the cavity at the "ideal" time or, equivalently, at an ideal phase, ϕ_s , to receive an appropriate increase in its energy (which might be an increase of "0").
- We will keep track of the "difference" in energy between our test particle and the ideal particle:

 $W_s =$ "ideal" energy

$$\Delta W \equiv W - W_s$$





Linear Motion Very Near the Ideal Particle [3]

 If a group of particles passes through a cavity such that the ideal (synchronous) particle receives no net energy gain, can give particles that are ahead/behind a decrease/increase in energy



Can use matrix techniques to propagate the longitudinal motion





Linear Motion through Cavities and Drifts

• Keep track of time differences and energy differences...

drift:

$$\begin{pmatrix} \Delta t \\ \Delta W \end{pmatrix} = \begin{pmatrix} 1 & \eta \frac{L}{c} \frac{1}{\beta^3 \gamma} \frac{1}{mc^2} \\ 0 & 1 \end{pmatrix} \begin{pmatrix} \Delta t \\ \Delta W \end{pmatrix}_{0}$$

$$\begin{pmatrix} \Delta t \\ \Delta W \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ (2\pi f_{RF}) qV \cos \phi_s & 1 \end{pmatrix} \begin{pmatrix} \Delta t \\ \Delta W \end{pmatrix}_{0}$$

through cavity: longitudinal focusing

remember —
$$\eta \equiv \alpha_p - \frac{1}{\gamma^2} \qquad \qquad \alpha_p = \frac{\int [D(s)/\rho(s)] ds}{\int ds}$$





Bunchers, Re-bunchers, Debunchers

- If start with continuous stream of particles (DC current, with no strong "AC" component), can create bunches (AC) beam using a single cavity (buncher)
- If have bunched beam that is allowed to travel a certain distance, the particles within the bunch will begin to spread out due to the inherent spread in momentum
 - re-buncher: mitigate this effect
 - debuncher: enhance this effect





Beam Buncher





*

Multi-harmonic Buncher

 Use 2, or 3 (or 4?) harmonics of the fundamental frequency to smooth out the sine wave into a more linear waveform

```
V(t) = V_1 \sin(2\pi f t) + V_2 \sin(4\pi f t) 
+ V_3 \sin(6\pi f t) + V_4 \sin(8\pi f t) + ...
```

ReA pre-buncher; Alt, et al. (MSU)





Dependence upon initial energy spread









RF Defocusing

- When a particle enters a cavity off center, the field lines will have an inward component; and they will have an outward component upon exit from the cavity.
- However, the strength of the field is changing — typically, increasing — during transit.
- Thus, the outward "kick" due to the field will be greater than the inward kick defocusing effect
- This "RF defocusing" is more important at lower energies $\frac{1}{f} = \frac{\Delta x'}{x} \approx \pi \frac{eV_{\text{eff}}}{mc^2} \frac{T\cos\phi_s}{\lambda(\beta\gamma)^2}$



see T. Wangler, RF Linear Accelerators

The Radio Frequency Quadrupole (RFQ)

- Create quadrupole electric field for x/y focusing
- Modulate the distance to the quad pole tips along the length of the cavity
 - modulation period will vary along the length, according to desired velocity profile of ideal particle
 - Period = $\beta \lambda = \beta c/f_{RF}$; varies as $\beta = v/c$ varies

 The varying vane structure creates a longitudinal electric field, accelerating the particle; thus, simultaneously accelerate & focus













The Radio Frequency Quadrupole (RFQ)









Many variants: 4-vanes, 4-rods, Al/Cu, large/small Typical energy range — up to few MeV (protons, ions typically; also electrons)





Repetitive Systems of Acceleration





Repetitive Systems of Acceleration

- We will assume that particles are propagating through a system of accelerating cavities. Each cavity has oscillating fields with frequency *f_{RF}*, and maximum "applied" voltage *V* (i.e., this takes into account TTF's, etc.). The ideal particle would arrive at the cavity at phase φ_s.
- We will choose \(\phi_s\) to be relative to the "positive zerocrossing" of the RF wave, such that the ideal particle acquires an energy gain of

 $\Delta E = \Delta W = qV\sin\phi_s = QeV\sin\phi_s$

- this definition used for synchrotrons; linacs more often define ϕ_s relative to the "crest" of the RF wave
 - apologies for this possible *further* confusion...





Acceleration of Ideal Particle

Wish to accelerate the ideal particle. As the particle exits the (n+1)-th RF cavity/station we would have

$$E_s^{(n+1)} = E_s^{(n)} + QeV\sin\phi_s$$

If we are considering a synchrotron, we can consider the above as the total energy gain on the (n+1)-th revolution. The ideal energy gain per second would be:

$$dE_s/dt = f_0 QeV \sin\phi_s$$

Next, look at (longitudinal) motion of particles near the ideal particle: ϕ = phase w.r.t. RF system

 $\Delta E \equiv E - E_s$ = energy difference from the ideal



• Assume that our accelerating system of cavities is set up so that the ideal particle always arrives at the next cavity when the accelerating voltage *V* is at the same phase (called the "synchronous phase")



$$\phi_{n+1} = \phi_n + \frac{2\pi h\eta}{\beta^2 E} \Delta E_n$$
$$\Delta E_{n+1} = \Delta E_n + QeV(\sin \phi_{n+1} - \sin \phi_s)$$

Notes:

$$h = L/\beta\lambda, \quad \lambda = c/f_{\rm rf}$$

If *L* is circumference of a synchrotron then: $h = f_{\rm rf}/f_0$ where f_0 is the revolution frequency, In this case, *h* is called the "harmonic number"

$$E = mc^2 + W; \qquad \Delta E \Leftrightarrow \Delta W$$

$$\phi_s$$

(difference equations)





Applying the Difference Equations

```
while (i < Nturns+1) {
    phi = phi + k*dW
    dW = dW + QonA*eV*(sin(phi)-sin(phis))
    points(phi*360/2/pi, dW, pch=21,col="red")
    i = i + 1
}</pre>
```

Let's run a code...





v0_RFtrack.R ×		5 File	s Plots	Packages He	lp View	er					-0
	🗐 📄 🖸 Source on Save 🔍 🎽 🕶 🗐 👻 🕂 🗐 🗸 🚽 🗐	e 🔶		Zoom 🛛 🚈 Expo	rt - O	1				- Publish	- G
1 2 3	<pre># Program to plot longitudinal phase space motion # through a system of cavities (just an example)</pre>										
4 5	Nturns = 100		_ (
6	# Some Parameters		2 -								
7	Ws = 1.0 # MeV/u		0								
8	phis = 30*pi/180 # synchronous phase angle										
9	eV = 0.2 # MeV/u										
10	QonA = 0.25										
11	gamma = (931 + Ws)/931							AR 080			
12	$beta = sqrt(1-1/gamma^2)$		5				0	8000	880		
13	$eta = -1/gamma^2$		0				-06	o culton	68		
14	h = 1/(beta*3e8/80.5e6)		9				600	800000	8 00		
15	$k = 2*pi*h*eta/beta^2*(gamma-1)/gamma/Ws$						2 00	Come of	0 8 092		
16							2000	TRANSFER F	0 1 88	2	
17	# initialize the phase space plot						8 600				
18	phi = 0					é	0000		8088		
19	dW = 0	<	8 _			a a	8888			66	
20	plot(phi, dW, xlim=c(-180,180), ylim=c(-0.1,0.1), typ="n")	б	0			8	888	3 3 5	0 8 8 B	888	
21						e e	3a88a 🖌	33	8 8 8	o o	
22	trk = 1					é la		500	\$ 0000 C	0	
23 -	while (trk < 16) {					Ğ B	S S Caro	and a a		0	
24	# initialize particle positions in phase space					80	0 0000	000	2 8	0	
25	u0 < - locator(1)		5			80	8 0000			0	
20	ph1 <- u0\$x/180*p1		0.1			a c	Como C	Ber a			
20	dw <- uosy # thack the particle		Ŷ			7	68888	pm			
20	i = 1						Q-QUQ				
30 -	while $(i < N + unns + 1)$ {										
31	$nhi = nhi + k^*dW$										
32	$dW = dW + 0 on \Delta^* eV^* (sin(nhi) - sin(nhis))$										
33	points(phi*360/2/pi, dW, pch=21, col="red")		6								
34	i = i + 1		o l	~			-				
35	}								2		
36	trk = trk + 1			-150	-100	-50	0	50	100	150	
37	}										
38							phi				
38:1	(Top Level) \$: ÷					F				



Acceptance and Emittance

- Stable region often called an RF "bucket"
 - "contains" the particles
- Maximum vertical extent is the maximum spread in energy that can be accelerated through the system





Acceptance and Emittance

- Stable region often called an RF "bucket"
 - "contains" the particles
- Maximum vertical extent is the maximum spread in energy that can be accelerated through the system
- Desire the beam particles to occupy much smaller area in the phase space



Note: *E*, *t* canonical



differential approach...

start with above difference eqs

ach...

$$\Delta E_{n+1} = \Delta E_n + QeV(\sin\phi_{n+1} - \sin\phi_s)$$

$$\rightarrow \frac{d\phi}{dn} = \frac{2\pi h\eta}{\beta^2 E} \Delta E, \qquad \frac{d\Delta E}{dn} = QeV(\sin\phi - \sin\phi_s)$$

$$\rightarrow \frac{d^2\phi}{dn^2} = \frac{2\pi h\eta}{\beta^2 E} \frac{d\Delta E}{dn} = \frac{2\pi h\eta}{\beta^2 E} QeV(\sin\phi - \sin\phi_s)$$
(1)

$$\Rightarrow \frac{d^2\phi}{dn^2} - \frac{2\pi h\eta}{\beta^2 E} QeV(\sin\phi - \sin\phi_s) = 0$$

 $\phi_{n+1} = \phi_n + \frac{2\pi h\eta}{\beta^2 E} \Delta E_n$

find 1st integral:

$$\int \left(\frac{d^2\phi}{dn^2}\right) \frac{d\phi}{dn} dn - \frac{2\pi h\eta}{\beta^2 E} QeV \int (\sin\phi - \sin\phi_s) \frac{d\phi}{dn} dn = 0$$
$$\frac{1}{2} \left(\frac{d\phi}{dn}\right)^2 + \frac{2\pi h\eta}{\beta^2 E} QeV(\cos\phi + \phi\sin\phi_s) = constant$$
or,
$$\Delta E^2 + 2\frac{\beta^2 E}{2\pi h\eta} QeV(\cos\phi + \phi\sin\phi_s) = constant$$

(2)

1141

or,

The equation of the *trajectories* in phase space!





Synchrotron Oscillations

- Particles near the synchronous phase and ideal energy will oscillate about the synchronous particle with the "synchrotron frequency" (this is call synchrotron motion)
 - "synchrotron tune" == # of synch. osc.'s per revolution

compute small oscillation frequency:

in (1), let
$$\phi = \phi_s + \Delta \phi \rightarrow \sin \phi_s = \sin \phi_s \cos \Delta \phi + \cos \phi_s \sin \Delta \phi - \sin \phi_s$$

 $\approx \Delta \phi \cos \phi_s$

$$\Rightarrow \frac{d^2 \Delta \phi}{dn^2} - \left(\frac{2\pi h\eta}{\beta^2 E} QeV \cos \phi_s\right) \Delta \phi = 0 \qquad \Rightarrow \qquad \nu_s = \sqrt{-\frac{h\eta QeV}{2\pi \beta^2 E} \cos \phi_s}$$

if $\eta > 0$, choose $\cos \phi_s < 0$





- From what we've just seen, the synchrotron motion in a circular accelerator takes many (perhaps hundreds of) revolutions to complete one synchrotron period
- On the other hand, in the transverse plane, a particle will typically undergo many betatron oscillations during one revolution
- Thus, transverse/longitudinal dynamics typically occur on very different time scales — this actually justifies us studying them independently





The Stationary Bucket

- Suppose do not wish to accelerate the ideal particle...
 - for lower energies, where the slip factor is negative, then need to choose $\phi_s = 0^\circ$



MJS





"stationary" bucket:
$$\phi_s = 0, \ 2\pi$$
 (sin $\phi_s = 0$)

anticipate stability: —> choose $\phi_s = 0, \quad \eta < 0$

then, $\Delta E^2 + 2 \frac{\beta^2 E}{2\pi h\eta} QeV \cos\phi = constant$

on the separatrix: $\Delta E = 0$ at $\phi = \pm \pi$

$$0 - 2\frac{\beta^2 E}{2\pi h\eta} QeV = constant$$



thus, the Eq. of separatrix: $\Delta E^2 + (1 + \cos \phi) \frac{\beta^2 E}{\pi h \eta} Q e V = 0$

$$\Delta E^2 + \frac{2\beta^2 E}{\pi h\eta} QeV \cos^2(\phi/2) = 0$$

separatrix:

 $\Delta E = \pm \sqrt{-\frac{2\beta^2 E}{\pi h \eta}} QeV \cos(\phi/2)$ (for "stationary bucket")





thus, "bucket height":

$$a = \sqrt{\frac{2\beta^2 E}{\pi h |\eta|}} QeV$$

Phase space area of a stationary bucket:
$$4\int_0^\pi a~\cos(\phi/2)~d\phi=8a$$

and, if use ΔE - Δt coordinates rather than ΔE - ϕ , then area of a *stationary* bucket is...

$$\mathcal{A}_0 \equiv \frac{8}{\pi f_{\rm rf}} \sqrt{\frac{\beta^2 E Q e V}{2\pi h |\eta|}} \qquad \text{(here, units of eV-sec)} \\ \text{since } \phi = 2\pi f_{\rm rf} t$$

Note: for $\sin \phi_s \neq 0$



 $\mathcal{A} = \mathcal{A}_0 \cdot \mathcal{F}(\phi_s)$

where $0 < \mathcal{F} < 1$

(determined numerically)





Finding the Bucket Area



curve:
$$\Delta E^2 + 2 \frac{\beta^2 E}{2\pi h\eta} QeV(\cos\phi + \phi\sin\phi_s) = constant$$

"kinetic"-like

"potential"-like

"total Energy"-like



 ϕ_1 is where "potential like" has derivative = 0: $\phi_1 = \pi - \phi_s$

Given $\phi_1 = \pi - \phi_s$, can now determine the "constant": $\Delta E = 0$ at ϕ_1 , and so...

$$(0)^{2} + 2\frac{\beta^{2}E}{2\pi h\eta}QeV(\cos\phi_{1} + \phi_{1}\sin\phi_{s}) = constant$$

Then, find that ϕ_2 must satisfy: $\cos \phi_2 + \phi_2 \sin \phi_s + \cos \phi_s + (\pi - \phi_s) \sin \phi_s = 0$





Numerical Solution for Bucket Area



MJS





Back to Small Oscillations...

from (2),
$$\Delta E^2 + 2 \frac{\beta^2 E}{2\pi h \eta} QeV(\cos \phi + \phi \sin \phi_s) = constant$$

if
$$\phi=\phi_s+\Delta\phi$$
 , then ... $_{\rm (small)}$



 $\Delta E^2 + 2\frac{\beta^2 E}{2\pi h\eta} QeV(\cos\phi_s \cos\Delta\phi - \sin\phi_s \sin\Delta\phi + (\phi_s + \Delta\phi)\sin\phi_s) = constant$

$$\Delta E^{2} + 2\frac{\beta^{2}E}{2\pi h\eta}QeV(\cos\phi_{s}(1-\frac{1}{2}\Delta\phi^{2}) - \sin\phi_{s}\Delta\phi) + \phi_{s}\sin\phi_{s} + \Delta\phi\sin\phi_{s}) = constant$$

$$\Delta E^2 + \left(-\frac{\beta^2 E}{2\pi h\eta} QeV \cos\phi_s\right) \Delta\phi^2 = constant \tag{3}$$

This Eqn. represents trajectories in longitudinal phase space of particles *near* the ideal particle.





Beam Longitudinal Emittance

Suppose beam is well contained within an ellipse given by (3), and suppose we know either $\Delta \hat{E}$ or $\Delta \hat{\phi}$ (or, $\Delta \hat{t}$) of the distribution (i.e., maximum extent). Then, the *constant* is easily seen to be:

$$constant = \Delta \hat{E}^2 = -\frac{\beta^2 E}{2\pi h\eta} QeV \cos\phi_s \Delta \hat{\phi}^2$$

So, area of ellipse (the *longitudinal emittance*) is: $\pi \ \Delta \hat{E} \Delta \hat{\phi}$

or, in *E-t* coordinates, $S\equiv\pi\;\Delta\hat{E}\Delta\hat{t}=\pi\;\Delta\hat{E}rac{\Delta\hat{\phi}}{2\pi f_{
m rf}}$




Motion Near the Ideal Particle

Linearize the motion, and write in matrix form...

$$\phi_{n+1} = \phi_n + \frac{2\pi h\eta}{\beta^2 E} \Delta E_n$$

$$\Delta E_{n+1} = \Delta E_n + QeV(\sin \phi_{n+1} - \sin \phi_s)$$

$$= \Delta E_n + QeV(\sin \phi_s \cos \Delta \phi_{n+1} + \sin \Delta \phi_{n+1} \cos \phi_s) - \sin \phi_s)$$

$$= \Delta E_n + QeV \cos \phi_s \Delta \phi_{n+1}$$

$$= \Delta E_n + QeV \cos \phi_s \left[\Delta \phi_n + \frac{2\pi h\eta}{\beta^2 E} \Delta E_n \right]$$

Thus,

$$\Delta \phi_{n+1} = \Delta \phi_n + \frac{2\pi h\eta}{\beta^2 E} \Delta E_n$$

$$\Delta E_{n+1} = QeV \cos \phi_s \Delta \phi_n + \left(1 + \frac{2\pi h\eta}{\beta^2 E} QeV \cos \phi_s\right) \Delta E_n$$





or,

$$\begin{pmatrix} \Delta\phi\\\Delta E \end{pmatrix}_{n+1} = \begin{pmatrix} 1 & \frac{2\pi h\eta}{\beta^2 E}\\ QeV\cos\phi_s & \left(1 + \frac{2\pi h\eta}{\beta^2 E} QeV\cos\phi_s\right) \end{pmatrix} \begin{pmatrix} \Delta\phi\\\Delta E \end{pmatrix}_n$$
$$= \begin{pmatrix} 1 & 0\\ QeV\cos\phi_s & 1 \end{pmatrix} \begin{pmatrix} 1 & \frac{2\pi h\eta}{\beta^2 E}\\ 0 & 1 \end{pmatrix} \begin{pmatrix} \Delta\phi\\\Delta E \end{pmatrix}_n$$
$$M = M_c & M_d$$
$$\frac{M_c}{\text{``thin'' cavity}} & drift$$

(acts as longitudinal focusing element)

Gijt

Note: for $\eta < 0$, M_d is a "backwards" drift; i.e., $\Delta \phi$ decreases for $\Delta E > 0$

(when no bending)

 $\eta = -1/\gamma^2$ in straight region (linac)





Remember from transverse motion, $\,x \propto \sqrt{\beta} \sin \Delta \psi$ and when M was periodic,

$$M = \begin{pmatrix} \cos \Delta \psi + \alpha \sin \Delta \psi & \beta \sin \Delta \psi \\ -\gamma \sin \Delta \psi & \cos \Delta \psi - \alpha \sin \Delta \psi \end{pmatrix} \quad \text{and} \quad trM = 2 \cos \Delta \psi$$

 $\Delta \psi$ = phase advance through periodic section

Can imagine "longitudinal" β , α , γ , $\Delta \psi$ parameters as well Note: from *M* of previous page, if represents periodic structure (linac), then

$$trM = 2 + \frac{2\pi h\eta}{\beta^2 E} QeV \cos \phi_s = 2 \cos \Delta \psi_s \qquad \Delta \psi$$

$$longitudinal \ phase \ advance$$

$$\cos \Delta \psi_s \approx 1 - \frac{1}{2} (\Delta \psi_s)^2 = 1 + \frac{2\pi h\eta}{\beta^2 E} QeV \cos \phi_s \left[= \frac{1}{2} trM \right]$$

$$\nu_s = \sqrt{-\frac{h\eta}{2\pi\beta^2 E}} QeV \cos \phi_s \qquad as \ found \ pressure density of the second sec$$

$$\Delta \psi_s = 2\pi \nu_s$$

illation frequency . cavity number, "n" ., synchrotron tune)





Golf Clubs vs. Fish

- Our analysis "assumes" slowly changing variables (including the energy gain!). Quite reasonable in many Alvarez-style linacs and in synchrotrons
- In linacs, fractional energy change can be large, and so this will distort the phase space
- Plots from Wangler's book:

Here, a more rapid acceleration is included (linac)

Here, assume that energy is "constant" or varying very slowly

(synchrotron)







Transition Energy

 In a synchrotron, there can be an energy at which the slip factor changes sign — this is call the "transition energy"

$$\eta = \alpha_p - \frac{1}{\gamma^2} = \left\langle \frac{D}{\rho} \right\rangle - \frac{1}{\gamma^2}$$
$$\eta = 0 = \alpha_p - \frac{1}{\gamma^2}$$
$$\eta = \frac{1}{\gamma_t^2} - \frac{1}{\gamma^2}$$
$$\gamma_t \equiv \frac{1}{\sqrt{\alpha_p}}$$

 In a typical FODO-style synchrotron, the transition gamma is roughly equal to the betatron tune





Transition

We had...
$$\Rightarrow \frac{d^2 \Delta \phi}{dn^2} - \left(\frac{2\pi h\eta}{\beta^2 E} QeV \cos \phi_s\right) \Delta \phi = 0$$
 $\nu_s = \sqrt{-\frac{h\eta}{2\pi \beta^2 E} QeV \cos \phi_s}$
(if $\eta > 0$, choose $\cos \phi_s < 0$

So, when $\eta < 0$, we want $\cos \phi_{\rm s} > 0$ when $\eta > 0$, we want $\cos \phi_{\rm s} < 0$



 \therefore if γ_t exists, need "phase jump" to occur at transition crossing

 $\gamma_t mc^2 = \text{transition energy}$





Transition Crossing

- If the synchrotron accelerates through its transition energy, then the phase of the RF system has to be shifted at the time of transition crossing
- The synchrotron motion slows down as approach transition it would stop if the slip factor were exactly zero!
 - Ioss of phase stability!
 - momentum spread also gets larger near transition
- So, best to accelerate quickly through this energy region!



$$\nu_s = \sqrt{-\frac{h\eta}{2\pi\beta^2 E}QeV\cos\phi_s}$$





Some Movies...

- Bucket Transformation
- Snap Capture
- Adiabatic Capture
- Parabolic acceleration
- Parabolic acceleration full bucket
- Transition Crossing





Buckets, Bunches, Batches, ...





Buckets, Bunches, Batches, ...

- Have seen definition of "buckets" stable phase space area
- Buckets can be occupied by "bunches" of particles
 - note: need not be can have "empty buckets"
 - thus, can (in principle) adjust bunch spacing, bunch arrangements, etc.
- A set of bunches that are created in an accelerator (pulsed) is often called a Batch (especially if from a synchrotron)
 - can also be called a Bunch Train as well (especially if from a linac)





Bunch Manipulations in Synchrotrons

- Cogging
- Slip Stacking
- Bunch Rotation
- Bunch Coalescing
- Barrier Buckets





Cogging

- Essentially, phase slippage by changing the relative momeutm
- Ex: beam transfers between two synchrotrons



Suppose $C_2 = 2C_1$; want to inject bunch in synchrotron S_1 into a particular "bucket" location in synchrotron S_2

need to adjust the revolution frequency of one ring (pick S_1 , say) until the two revolving "markers" line up

if $C_2 = 2C_1 \iff f_1 = 2f_2$, and may *never* line up!

So, make $\Delta \tau_1 / \tau_1 = \eta \Delta p / p$ such that, after N turns,

 $N |\Delta \tau_1| = \Delta C_1 / v$





Cogging [2]

$$\longrightarrow \quad \frac{\Delta\tau}{\tau} = -\frac{\Delta f}{f} = -\frac{\Delta f_{\rm rf}}{f_{\rm rf}} = \eta \frac{\Delta p}{p}$$

Suppose want to "cog" beam by one RF bucket in S_1 ... then $\Delta C_1 = C_1/h$

adjust Δf_{RF} which yields $\Delta \tau_1$ each turn; leave on for N turns; N = (time between buckets)/ $\Delta \tau_1$

to cog by one bucket, $N |\Delta \tau_1| = 1/f_{rf} \implies N (\tau_1 \eta \Delta p/p) = 1/f_{rf} \implies N \Delta p/p = 1/((\tau_1 \eta h f_1))$

or, $N \Delta p/p = 1/(\eta h)$

Note: when generate an average $\Delta p/p$, the average horizontal displacement in the synchrotron at a particular position where there is **dispersion** will be $\Delta x = D \Delta p/p$. Thus, $N \Delta x = D/(\eta h)$

Ex: Suppose we can accommodate radial motion on the scale of 10 mm where the dispersion function has value 2.5 m in a synchrotron with $\eta = 0.05$ and h=100. Then, to cog by one RF bucket would take N = (2.5 m / 0.01 m) / (0.05 * 100) = 50 revolutions.



Ex: Slip Stacking (ex: FNAL Main Injector)

- Essentially "cogging" during injection
 - inject ~ 1/2-circumference-worth of beam
 - accelerate slightly -> moves orbit outward
 - » (use RF system "A", say)
 - inject 2nd batch into the ring, behind the first batch
 - decelerate slightly —> moves orbit inward
 - » (using RF system "B", say)
 - △p between these 2 orbits implies they will "slip" in time until they line up
 - re-capture with a higher voltage RF in order to match the bucket shape to the beam emittance



data

Close





Bunch Rotation

start:







Bunch Rotation

start:



instantly raise RF voltage...

bunches will begin to rotate in phase space:







Bunch Rotation

start:



instantly raise RF voltage...

bunches will begin to rotate in phase space:



when rotated by 90° can rapidly switch to a higher-harmonic RF system in order to maintain the shorter bunch length; *or*, for example, extract the beam and send to a target!





Bunch Coalescing

similar to bunch rotation, but also involves a change in RF frequency (harmonic)







Bunch Coalescing

similar to bunch rotation, but also involves a change in RF frequency (harmonic)



switch off high frequency, low voltage system, switch on low frequency, high voltage system...







Bunch Coalescing

similar to bunch rotation, but also involves a change in RF frequency (harmonic)



switch off high frequency, low voltage system, switch on low frequency, high voltage system...





Bunch Coalescing [2]

- Can use coalescing techniques to take bunched beam from one accelerator, make intense bunches, and inject into downstream accelerators to increase throughput of particles
 - downside: increased longitudinal emittance



then, recapture with the original harmonic system @ higher voltage







Barrier Bucket(s)

- Use a *pulsed* RF waveform to produce a "barrier" potential to contain (or exclude) beam in certain lengths of the circulating beam
- provides essentially DC beam, with a "gap" or gaps, which might be useful to provide time for kicker magnets to energize (injection, extraction) or for performing bunch compression to make room for incoming pulses of particles
- can adjust pulse separation, voltages adiabatically in order to control beam density, etc.

